

For Staff Use Only

File #: \_\_\_\_\_

Entity #: \_\_\_\_\_

Entity Name: \_\_\_\_\_

Date Filed: \_\_\_\_\_

**APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND  
ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO  
CERTIFICATION;<sup>1</sup> -- REGISTRATION (For applicants not currently certificated  
to provide intrastate interexchange telecommunications)<sup>2</sup>**

**Instructions:** Please complete sections 1 – 3 below. Submit a signed original and two copies of this application to the Regulatory Commission of Alaska,<sup>3</sup> along with an original and two copies (except as noted otherwise) of:

- \$100 registration fee (check or money order only, payable to “Regulatory Commission of Alaska”)
- \$5,000 bond if applicant will require prepayment of any service
- \$1,000 bond if applicant will not require prepayment of any service
- paper version of the applicant’s initial online tariff in accordance with 3 AAC 52.367
- diskette containing html version of the applicant’s initial online tariff in accordance with 3 AAC 52.367 (one diskette only)
- a signed tariff content verification form
- proof of authority to do business in Alaska (see <http://www.dced.state.ak.us/occ/buslic.htm>)
- request for waiver of Commission rule(s) (if needed).

**Section 1: Preliminary Questions for Applicant:**

- (i) Has this applicant previously attempted to register under 3 AAC 52.358?  
Yes\_\_\_\_ No\_\_\_\_
- (ii) If the answer to the previous question was yes, please provide the following information:
  - a. What was the exact company name used for the last filing?  
\_\_\_\_\_
  - b. When was the previous application filed? \_\_\_\_\_

<sup>1</sup> An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a ***certificate*** of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

<sup>2</sup> This form is for alternate operator service (AOS) providers and IXCs not currently certificated. Certificated IXCs converting to registration must use RCA Form RE302. Registered entities seeking to reregister must file RCA Form RE303.

<sup>3</sup> 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

- c. What RCA file or receipt number was assigned to your previous application, if any? \_\_\_\_\_
- (iii) Is the applicant requesting a waiver of any Commission rules?  
Yes \_\_\_\_\_ No \_\_\_\_\_
- (iv) Has the applicant been involved in any administrative and judicial proceedings in a state or federal jurisdiction that resulted in suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates? Yes \_\_\_\_\_ No \_\_\_\_\_
- (v) Has the applicant, its officers, directors, or affiliates ever abandoned service in violation of applicable statutes, regulations, or orders?  
Yes \_\_\_\_\_ No \_\_\_\_\_
- (vi) Determination of Bond Amount:
- a. Will applicant be providing prepaid services? Yes \_\_\_\_\_ No \_\_\_\_\_
- b. If applicant will be providing prepaid services, has it provided a \$5,000 bond?  
Yes \_\_\_\_\_ No \_\_\_\_\_
- c. If applicant will not be providing prepaid services, has it provided a \$1,000 bond? Yes \_\_\_\_\_ No \_\_\_\_\_

Section 2:

The applicant must complete the following items. Please print or type.

(1) What is the applicant's legal name and the name under which the applicant proposes to do business?

(2) What are the addresses of the applicant's principal national and Alaskan place of business?

(3) What are the name, title, and telephone number of the individual who is the applicant's liaison with the commission in regard *to the registration*?

(4) What is the applicant's business structure (corporation, partnership, etc.; including proof of incorporation and name and address of registered agent, if applicable)?

(5) Please provide proof of the applicant's authority to do business in Alaska.

(6) Please provide a list of the owners of five percent or more of the applicant's equity.

(7) Please provide a list of the applicant's affiliated interests, as defined in AS 42.05.990(1).

(8) Please provide a list of all administrative and judicial proceedings that resulted in:

(A) suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services;

(B) a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or

(C) an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates;

(9) Please provide a list of all cases and locations in which the applicant, or its officers, directors, or affiliates, have abandoned service in violation of applicable statutes, regulations, or orders.

(10) Please provide a list of the names, titles, and responsibilities of key management now employed or to be employed by the applicant, and resumes for each person.

(11) Please provide a list of all services proposed, together with an explanation of the applicant's technical ability to provide the proposed services?

(12) Please provide a completed tariff content verification form.

(13) What is the Internet address of the applicant's on-line tariff?

(14) Please indicate whether resold services will be obtained from:

- ☐ (A) another carrier's intrastate wholesale tariff;
- ☐ (B) another carrier's intrastate retail tariff;
- ☐ (C) an interstate contract or tariff; or
- ☐ (D) some other mechanism.

If (D), please explain: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Section 3:

Verification and Agreement

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to file for registration under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official: \_\_\_\_\_  
\_\_\_\_\_

Signature of Authorized Company Official: \_\_\_\_\_

Date: \_\_\_\_\_